



3677

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PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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|  |                      |                         |              |
|--|----------------------|-------------------------|--------------|
| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | Application Number   | 09/993,794              |              |
|  | Filing Date          | 11/23/01<br>May 5, 2003 |              |
|  | First Named Inventor | John Phillip Chevalier  |              |
|  | Group Art Unit       | 3677                    |              |
|  | Examiner Name        | Gary Wayne Estremsky    |              |
| Total Number of Pages in This Submission   | 07                   | Attorney Docket Number  | SOMMR-006CUS |

| ENCLOSURES (check all that apply)  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input checked="" type="checkbox"/> Drawing(s)  | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Reply                        | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition   | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input checked="" type="checkbox"/> Extension of Time Request                | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):            |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | <b>RECEIVED</b><br>MAY 09 2003   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> CD, Number of CD(s) _____                                      |  |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | Remarks   |  |

Submission of GROUP 3000  
Substitute Specification

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm or Individual name                    | Bruce B. Brunda<br>STETINA BRUNDA GARRED & BRUCKER |
| Signature                                  | <i>Bruce B. Brunda</i>                             |
| Date                                       | May 5, 2003  |

| CERTIFICATE OF MAILING  |                       |
|---|-----------------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 05/05/03 |                       |
| Typed or printed name   | Debra Gabaldon        |
| Signature   | <i>Debra Gabaldon</i> |
| Date  | 05/05/03              |

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PTO/SB/17 (01-03)

Approved for use through 04/30/2003. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 930.00

**Complete if Known**

|                      |                        |
|----------------------|------------------------|
| Application Number   | 09/993,794             |
| Filing Date          | 11/23/2001             |
| First Named Inventor | John Phillip Chevalier |
| Examiner Name        | Gary Wayne Estremsky   |
| Art Unit             | 3677                   |
| Attorney Docket No.  | SOMMR-006CUS           |

**METHOD OF PAYMENT** (check all that apply)☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:Deposit Account Number  
Deposit Account Name

19-4330

Stetina Brunda Garred &amp; Brucker

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments☐ Charge any additional fee(s) during the pendency of this application☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.**FEE CALCULATION****1. BASIC FILING FEE**

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 750      | 2001         | 375      | Utility filing fee     |          |
| 1002         | 330      | 2002         | 165      | Design filing fee      |          |
| 1003         | 520      | 2003         | 260      | Plant filing fee       |          |
| 1004         | 750      | 2004         | 375      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          | (\$)                   |          |

**2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE**

| Total Claims |                    | Extra Claims |  | Fee from below |  | Fee Paid |  |
|--------------|--------------------|--------------|--|----------------|--|----------|--|
| Independent  | Multiple Dependent |              |  |                |  |          |  |
|              |                    | -20** =      |  | X              |  | =        |  |
|              |                    | -3** =       |  | X              |  | =        |  |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 84       | 2201         | 42       | Independent claims in excess of 3                          |
| 1203         | 280      | 2203         | 140      | Multiple dependent claim, if not paid                      |
| 1204         | 84       | 2204         | 42       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater. For Reissues, see above

**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

| Large Entity                      |          | Small Entity |          | Fee Description  | Fee Paid    |
|-----------------------------------|----------|--------------|----------|--|-------------|
| Fee Code                          | Fee (\$) | Fee Code     | Fee (\$) |  |             |
| 1051                              | 130      | 2051         | 65       | Surcharge - late filing fee or oath  |             |
| 1052                              | 50       | 2052         | 25       | Surcharge - late provisional filing fee or cover sheet                     |             |
| 1053                              | 130      | 1053         | 130      | Non-English specification  |             |
| 1812                              | 2,520    | 1812         | 2,520    | For filing a request for ex parte reexamination                            |             |
| 1804                              | 920*     | 1804         | 920*     | Requesting publication of SIR prior to Examiner action                     |             |
| 1805                              | 1,840*   | 1805         | 1,840*   | Requesting publication of SIR after Examiner action                        |             |
| 1251                              | 110      | 2251         | 55       | Extension for reply within first month                                     |             |
| 1252                              | 410      | 2252         | 205      | Extension for reply within second month                                    |             |
| 1253                              | 930      | 2253         | 465      | Extension for reply within third month                                     | 930.00      |
| 1254                              | 1,450    | 2254         | 725      | Extension for reply within fourth month                                    |             |
| 1255                              | 1,970    | 2255         | 985      | Extension for reply within fifth month                                     |             |
| 1401                              | 320      | 2401         | 160      | Notice of Appeal   |             |
| 1402                              | 320      | 2402         | 160      | Filing brief in support of an appeal                                       |             |
| 1403                              | 280      | 2403         | 140      | Request for oral hearing   |             |
| 1451                              | 1,510    | 1451         | 1,510    | Petition to institute a public use proceeding                              |             |
| 1452                              | 110      | 2452         | 55       | Petition to revive - unavoidable   |             |
| 1453                              | 1,300    | 2453         | 650      | Petition to revive - unintentional   |             |
| 1501                              | 1,300    | 2501         | 650      | Utility issue fee (or reissue)   |             |
| 1502                              | 470      | 2502         | 235      | Design issue fee   |             |
| 1503                              | 630      | 2503         | 315      | Plant issue fee  |             |
| 1460                              | 130      | 1460         | 130      | Petitions to the Commissioner  |             |
| 1807                              | 50       | 1807         | 50       | Processing fee under 37 CFR 1.17(q)  |             |
| 1806                              | 180      | 1806         | 180      | Submission of Information Disclosure Stmt                                  |             |
| 8021                              | 40       | 8021         | 40       | Recording each patent assignment per property (times number of properties) |             |
| 1809                              | 750      | 2809         | 375      | Filing a submission after final rejection (37 CFR 1.129(a))                |             |
| 1810                              | 750      | 2810         | 375      | For each additional invention to be examined (37 CFR 1.129(b))             |             |
| 1801                              | 750      | 2801         | 375      | Request for Continued Examination (RCE)                                    |             |
| 1802                              | 900      | 1802         | 900      | Request for expedited examination of a design application                  |             |
| Other fee (specify)               |          |              |          |  |             |
| *Reduced by Basic Filing Fee Paid |          |              |          | SUBTOTAL (3)   | (\$ 930.00) |

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MAY 09 2003  
GROUP 3600**SUBMITTED BY**

|                   |                 |                                   |          |           |              |
|-------------------|-----------------|-----------------------------------|----------|-----------|--------------|
| Name (Print/Type) | Bruce B. Brunda | Registration No. (Attorney/Agent) | 28,497   | Telephone | 949-855-1246 |
| Signature         |                 | Date                              | 05/05/03 |           |              |

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This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

ATTORNEY DOCKET NO: SOMMR-006CUS  
TITLE: Latch Arrangement for Automotive Door

**C r t i f i c a t e o f M a i l i n g u n d e r 37 C F R 1.8 o r 37 C F R 1.10**

x I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Mail Stop Fee Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

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on May 5, 2003

  
(Signature)

Debra Gabaldon  
(Typed name of person signing certificate)

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

1. Amendment (7pages);
2. Submission of Substitute Specification (71/1 pages);
3. Submission of Substitute of Drawings (15 pages);
4. *Petition For Extension of Time*;
5. Transmittal;
6. Fee Transmittal; and
7. Return Postcard.
8. *check in amt. of 930.00*



#10  
B4  
5/15/13

Case: SOMMR-006CUS

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

|             |                      |   |                                |
|-------------|----------------------|---|--------------------------------|
| Applicants: | John P. Chevalier    | ) |                                |
|             |                      | ) | Art Unit: 3677                 |
| Serial No.: | 09/993,794           | ) |                                |
|             |                      | ) | Examiner: Gary Wayne Estremsky |
| Filed:      | November 23, 2001    | ) |                                |
|             |                      | ) |                                |
| For:        | LATCH ARRANGEMENTS   | ) |                                |
|             | FOR AUTOMOTIVE DOORS | ) |                                |
|             | OR OTHER ENCLOSURES  | ) |                                |

**SUBMISSION OF SUBSTITUTE DRAWINGS**

Mail Stop Fee - Amendment  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir/Madam:

Applicant hereby submits amended drawings

Respectfully submitted:

Date: May 5, 2003

By: Bruce B. Brunda

Bruce B. Brunda  
Registration No. 28,497  
STETINA BRUNDA GARRED & BRUCKER  
75 Enterprise, Suite 250  
Aliso Viejo, CA 92656  
(949) 855-1256

Customer No. 007663



#9/Sub-Spec C  
(1E)  
84  
5/15/03

Case: SOMMR-006CUS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sommerville & Rushton )  
Serial No.: 09/993,794 ) Art Unit: 3677  
Filed: November 23, 2001 ) Examiner: Gary Wayne  
For: LATCH ARRANGEMENT FOR ) Estremsky  
AUTOMOTIVE DOOR )

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MAY 09 2003

GROUP 3600

SUBMISSION OF SUBSTITUTE SPECIFICATION

In view of the significant number of amendments to the specification, applicant submits herewith a substitute specification. The substitute specification identifies the proposed changes.

Should the examiner have concerns with respect to any of the changes, he is invited to contact applicant's representative at the telephone number listed below.

Respectively submitted,

Date: May 5, 2003

By:

Bruce B. Brunda

Bruce B. Brunda, Reg. No. 28,497  
STETINA BRUNDA GARRED & BRUCKER  
75 Enterprise, Suite 250  
Aliso Viejo, CA 92656  
(949) 855-1246  
Counsel for Applicant